

मध्यप्रदेश शासन

वित्त विभाग

मंत्रालय

क.एफ-1/42/04-पीएमयू/2446

भोपाल, दिनांक 28 नवम्बर 2007

परिपत्र

विषय:-प्रदेश में जन-निजी भागीदारी परियोजनाओं के लिये योजना आयोग की **Project Preparation Facility** के क्रियान्वयन के संबंध में दिशा निर्देश। (परिपत्र क्र.8)
संदर्भ:-इस विभाग का परिपत्र क्र: एफ.1/42/04-पीएमयू/1121 दिनांक 31-5-2006 एवं योजना आयोग का ज्ञाप क.एन-14070/46 दिनांक 19-10-2007

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कृपया उपरोक्त संदर्भित परिपत्र क्र.-1 का अवलोकन करने का कष्ट करें, जिसके द्वारा प्रदेश में जन-निजी भागीदारी योजना अंतर्गत अधोसंरचना परियोजनाओं के क्रियान्वयन हेतु विस्तृत दिशा निर्देश जारी किये गये हैं। योजना आयोग द्वारा ज्ञाप क. एन-14070/46/07 दिनांक 19-10-2007 द्वारा जननिजी भागीदारी परियोजनाओं के लिये आयोग की Project Preparation Facility (PCPPF) के क्रियान्वयन के संबंध में दिशा निर्देश जारी किये गये हैं, जो इस परिपत्र के साथ संलग्न प्रेषित हैं।

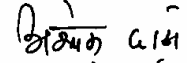
2. योजना आयोग की Project Preparation Facility (PCPPF) के अंतर्गत राज्य सरकार के विभागों एवं संवैधानिक निकायों यथा नगर पालिका/नगरनिगम आदि को जन-निजी भागीदारी परियोजनाओं के प्रस्ताव तथा विस्तृत परियोजना प्रतिवेदन तैयार करने के लिए प्रोफेशनल सलाहकार (कंसल्टेंट) के माध्यम से तकनीकी सहायता ग्रांट-इन-एड के रूप में उपलब्ध कराने का निर्णय लिया गया है।

3. ऐसी सहायता की अधिकतम सीमा 25 लाख रूपये प्रति परियोजना होगी। इस योजना के अंतर्गत सहायता प्राप्त करने के लिये संबंधित विभाग/निकाय को जन-निजी भागीदारी परियोजना तथा आवश्यक सहायता के विवरण के साथ योजना आयोग को आवेदन प्रस्तुत करना होगा, जिस पर योजना आयोग की एक समिति द्वारा विचार किया जावेगा। आवेदन स्वीकृत होने की स्थिति में योजना आयोग उपयुक्त सलाहकार का चयन कर निर्धारित शर्तों पर उसकी नियुक्ति करेगा। चयनित सलाहकार निर्धारित शर्तों पर योजना आयोग के पर्यवेक्षण एवं राज्य सरकार के संबंधित विभाग/विधिक निकाय के परामर्श से परियोजना के लिये कार्य करेगा। सलाहकार की सेवाओं के लिए भुगतान योजना आयोग द्वारा किया जावेगा। इस योजना के अंतर्गत सहायता योजना के साथ संलग्न परिशिष्ट में उल्लेखित क्षेत्रों में से किसी एक क्षेत्र में अधिकतम दो परियोजनाओं के लिए सीमित होगी। परिशिष्ट में उल्लेखित क्षेत्र निम्नानुसार हैं:-

1. सड़कें, पुल, रेल्वे, बन्दरगाह, हवाई-अड्डा, आंतरिक जलमार्ग।
2. उर्जा।
3. शहरी यातायात, जलप्रदाय, मल-जल, ठोस-अपाशिष्ट प्रबंधन एवं शहरी क्षेत्र में अन्य भौतिक अधोसंरचना।
4. विशेष आर्थिक क्षेत्र अंतर्गत अधोसंरचनाएँ।

5. अंतरराष्ट्रीय समागम केन्द्र एवं अन्य पर्यटन अधोसंरचनायें ।
6. स्वास्थ्य परियोजनायें ।
7. शिक्षण संस्थायें ।
8. प्रशिक्षण संस्थायें ।
9. योजना आयोग की स्वीकृतकर्ता समिति के अनुमोदन से अन्य कोई क्षेत्र ।

4. अतएव संबंधित विभागों से यह अनुरोध है कि जन निजी भागीदारी परियोजनाओं के प्रस्ताव आदि तैयार करने के लिए योजना आयोग की उक्त योजना का अधिकाधिक लाभ लेने का प्रयास किया जावे । उल्लेखनीय है कि प्रदेश में शासकीय स्त्रोतों से अधोसंरचना परियोजनाओं के क्रियान्वयन हेतु आवश्यक संसाधनों की उपलब्धता नहीं होने के कारण अधिक से अधिक निजी भागीदारी के अंतर्गत वित्तीय सहायता से अधोसंरचना परियोजनाओं का क्रियान्वयन राज्य शासन के लिए महत्वपूर्ण लक्ष्य है ।

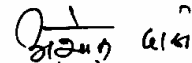

(अशोक दास)

प्रमुख सचिव,
मध्यप्रदेश शासन,
वित्त विभाग

पृ.क.एफ-1/42/04-पीएमयू/2447
प्रतिलिपि:-

भोपाल, दिनांक 28 नवम्बर 2007

1. सचिव, मुख्यमंत्री सचिवालय, म0प्र0 शासन, मंत्रालय, वल्लभ भवन, भवन भोपाल ।
2. मुख्य सचिव के विशेष सहायक, म0प्र0 शासन, मंत्रालय, वल्लभ भवन, भोपाल ।
3. प्रमुख सचिव/सचिव, म.प्र. शासन, वित्त/योजना/लोक स्वास्थ्य यांत्रिकी/ नगरीय प्रशासन एवं विकास/लोक निर्माण/उर्जा/परिवहन/विमानन/पर्यटन/वाणिज्य एवं उद्योग/नर्मदा घाटी विकास/जल संसाधन विभाग, वल्लभ भवन, भोपाल ।
4. संबंधित विभाग के विभागाध्यक्ष, लोक स्वास्थ्य यांत्रिकी/लोक निर्माण/ नगरीय प्रशासन एवं विकास/उर्जा/परिवहन/विमानन/पर्यटन/वाणिज्य एवं उद्योग/नर्मदा घाटी विकास/जल संसाधन विभाग मध्यप्रदेश ।
5. प्रबंध संचालक, मध्यप्रदेश राज्य सड़क विकास निगम/लघु उद्योग निगम/पर्यटन विकास निगम/औद्योगिक विकास निगम/सड़क परिवहन निगम/बायोटेकनालाजी काउंसिल/ इलेक्ट्रॉनिक्स डेवलपमेंट कांफोरेशन ।
6. संयुक्त संचालक, संचालनालय संस्थागत वित्त, मध्यप्रदेश, भोपाल की ओर सूचनार्थ एवं आवश्यक कार्यवाही हेतु ।


(अशोक दास)

प्रमुख सचिव,
मध्यप्रदेश शासन,
वित्त विभाग

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 Date: 29-10-2007

N-14070/46/07-Infra
 Planning Commission
 (Secretariat for Committee on Infrastructure)

Yojana Bhavan, Sansad Marg,
 New Delhi - 110 001.
 Dated: 19th October, 2007

OFFICE MEMORANDUM

Subject: Guidelines for implementation of Planning Commission's Project Preparation Facility for Public Private Partnership Projects

The undersigned is directed to state that the existing deficit in infrastructure services and rising demand for additional services to support the projected growth rate in GDP have been recognised as critical areas to be addressed during the Eleventh Five Year Plan. The investment requirements in infrastructure sectors are very large and require a significant flow of private capital in public infrastructure projects through Public Private Partnership (PPP).

2. Central Ministries, State Governments or the Statutory entities sponsoring such projects (the "Project Authorities") need financial, legal and technical advice for formulating project proposals and documents necessary for award and implementation of such projects in an efficient, fair and transparent manner.

3. Planning Commission has an ongoing facility called the "Planning Commission's Project Preparation Facility (PCPPF)" to provide grants-in-aid for ~~financing the cost of engaging professional consultants for~~ preparation of Detailed Project Reports specifically for projects proposed to be funded from external and institutional sources.

4. Keeping in view the need to attract private investment in infrastructure sectors through Public Private Partnerships (PPPs), Planning Commission has decided to include Public Private Partnership (PPP) projects for providing grant-in-aid in the form of technical assistance by way of professional consultants to Project Authorities ~~for the formulation and award of PPP~~ ~~Projects~~. A copy of the guidelines for the PCPPF: PPP Projects is enclosed.

- The scheme will have the following basic features:
- (i) All Project Authorities (Central Ministries, State Governments or the Statutory entities sponsoring such projects) are eligible for assistance under this scheme and assistance will be provided as a grant in the form of technical assistance.
 - (ii) Assistance for engaging technical, financial and legal experts/ consultants/ firms would normally not exceed Rs. 25 lakh per project.

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(iii) Payments to the experts/ consultants/ firms engaged under this scheme shall be released in accordance with agreed terms of reference and subject to the provisions of this Scheme.

(iv) Assistance under this scheme would be provided to a ~~maximum of 100~~ projects in any particular sector listed in the annexure to the Scheme.

5. It is requested that information of this scheme may be disseminated widely amongst concerned agencies, and Departments encouraged to seek technical assistance under the Scheme for project preparation for the PPP Projects.

Namita
19.10.07
(Namita Mehrotra)
Director

Encl: as above

To,

Chief Secretaries of States and Administrators of UTs

Copy to:

Secretaries – DEA / DoRTH / Shipping / Civil Aviation / Power / Urban Development / Tourism / Health / Education / Railways



सत्यमेव जयते

**Guidelines for implementation of
Planning Commission's Project
Preparation Facility for Public Private
Partnership Projects**

**Secretariat for the Committee on Infrastructure
Planning Commission
Government of India**

October 2007

**Guidelines for implementation of Planning Commission's Project
Preparation Facility: Public Private Partnership projects**

- A Whereas the existing deficit in infrastructure services and the rising demand for additional services to support the projected growth rate in GDP have been recognised as critical areas to be addressed during the Eleventh Five Year Plan;
- B Whereas the investment requirements in infrastructure sectors are very large and require a significant flow of private capital in public infrastructure projects through Public Private Partnership (PPP) ;
- C. Whereas the Central Government has introduced several measures such as Scheme for Viability Gap Funding and constitution of the India Infrastructure Finance Company to enable financing of infrastructure projects;
- D Whereas the Central Ministries, State Governments or the Statutory entities sponsoring such projects (the "Project Authorities") need financial, legal and technical advice for formulating project proposals and documents necessary for award and implementation of such projects in an efficient, fair and transparent manner;
- E And whereas the Planning Commission has an ongoing facility called the "Planning Commission's Project Preparation Facility" to provide grants-in-aid for financing the costs of engaging professional consultants for preparation of Detailed Project Reports specifically for projects proposed to be funded from external and institutional sources.
- F Now, therefore, the Planning Commission has decided to include Public Private Partnership (PPP) projects for providing grant-in-aid in the form of technical assistance by way of professional consultants to Project Authorities in the formulation and award of PPP Projects.

1. Short Title and Extent

This Scheme would continue to be known as the Planning Commission's Project Preparation Facility. It will be implemented by the Planning Commission as a Plan Scheme and limited to the financial provisions available in its annual budget under Major Head – 3475, Minor Head 52- 50th year initiative for Planning.

2. Definitions

In this Scheme for assistance for PPP Projects, unless the context otherwise requires:

Assistance means one time assistance provided to the Project Authorities in the form of technical, legal or financial experts/consultants for preparation of project documents and for assisting in the selection of project sponsors for award of concessions;

Private Sector Company means a company in which 51% or more of the subscribed and paid up equity is owned and controlled by a private entity;

Project Authority means a Central Ministry, State Government, Union Territory or Statutory entity awarding a PPP Project; and

Public Private Partnership (PPP) Project means a project based on a contract or concession agreement, between a Government or statutory entity on the one side and a Private Sector Company on the other side.

3. Eligibility for Assistance

- 3.1 All Project Authorities are eligible for Assistance under this Scheme. The Assistance shall be provided as a grant in the form of technical assistance.
- 3.2 In order to be eligible for Assistance under this Scheme, the PPP Project shall be implemented i.e. financed, constructed, maintained and operated by a Private Sector Company to be selected by the Project Authority through a process of open competitive bidding.

4. Approval of Technical Assistance

4.1 Any Project Authority seeking Assistance under the Scheme shall make an application to the Planning Commission alongwith the particulars of the PPP Project and the Assistance required.

4.2 The application shall be considered for assistance by a Committee. The Committee would consist of:

1. Adviser, Infrastructure Division : Chairman
2. Representative of Subject Division, not below the rank of Director : Member
3. Representative of Integrated Finance Division not below the rank of Director : Member
4. Representative of Plan Coordination Division, not below the rank of Director : Member
5. Representative of Department of Economic Affairs, not below the rank of Director : Member

A representative of the concerned Ministry relating to the sector of the proposal would be invited to meetings of the Committee, as special invitee.

4.3 If the application is found eligible, the Planning Commission shall undertake the selection and appointment of suitable experts / consultants / firms to be engaged on the basis of agreed terms of reference and the extant rules of procurement.

4.4 The selection process for the consultants/ experts/ firms shall be as per the GFRs. There would be a Consultancy Evaluation Committee, headed by Adviser (Infra) and having Director (Finance) and Director (PC) as its Members, which would evaluate the bids received.

4.5 The selected experts / consultants / firms shall work for the Project Authority in accordance with the terms of reference and under the overall supervision of the Planning Commission and in consultation

with the Project Authority. Payment for the services shall be made by the Planning Commission in accordance with the extant Rules.

5. Limitations to Financial Assistance

- 5.1 Assistance for engaging technical, financial and legal experts/consultants / firms respectively shall not exceed Rs.25 lakh per project. In exceptional cases, a higher level of assistance may be provided with the approval of the Sanctioning Committee under the chairmanship of Secretary, Planning Commission.
- 5.2 The cases where assistance to be provided is more than Rs. 25 lakhs would first be considered by the Committee referred in Para 4.4 of the Scheme. Recommendations of the Consultancy Evaluation Committee would be submitted on file for approval of the Sanctioning Committee of Planning Commission
- 5.3 Payment to the experts / consultants / firms engaged under this scheme shall be released by the Planning Commission in accordance with the agreed terms of reference and subject to the provisions of this scheme.
- 5.4 Assistance to be provided under this Scheme would be subject to a maximum of two projects in any particular sector listed in the Annexure to be undertaken by a Project Authority.

Sectors eligible for Technical Assistance

1. Roads and bridges, railways, seaports, airports, inland waterways;
2. Power
3. Urban transport, water supply, sewerage, solid waste management and other physical infrastructure in urban areas;
4. Infrastructure projects in Special Economic Zones;
5. International convention centres and other tourism infrastructure projects;
6. Health sector projects;
7. Educational institutions including schools and colleges;
8. Training institutions;
9. Any other sector with the approval of Sanctioning Committee of Planning Commission.